

Serial No.

Docket No. 133545

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Evangelos T. Laskaris et al.

: Group Art Unit:

Serial No.:

: Examiner:

Filed:

For: METHOD AND APPARATUS FOR  
MAGNETIZING A PERMANENT MAGNET



INFORMATION DISCLOSURE STATEMENT

- ☒ 1 **Pursuant to 37 CFR 1.97 (b)**  
[within 3 months of national, non-CPA filing or prior to 1<sup>st</sup> Office Action] *no charge*
- ☐ 2 **Certification Pursuant to 37 CFR 1.97(c)(1)**  
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*
- ☐ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**  
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*
- ☐ 4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**  
[On or before issue fee payment] *\$180.00*

ATTN: BOX PATENT APPLICATION

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

Date of Deposit

Type or Print Name

Signature

Serial No.

Docket No. 133545

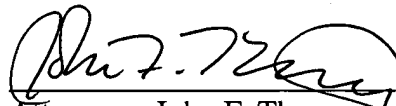
This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1<sup>st</sup> Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ 8 The required Certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR 1.97(d): [on or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 11 Certification
- ☐ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three (3) months prior to the filing date of this Statement.
- ☒ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868.

An original and two (2) copies of this document are enclosed.

Respectfully submitted,

September 24, 2003  
Date

  
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General Electric Company  
Patent Docket Rm 4A59  
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Schenectady, New York 12301  
Customer Number: 006147

FORM PTO-1449  
(REV. 7-80)  
(Title Amended 3/83)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

133545

SERIAL NO.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT--  
LIST OF ITEMS

(Use several sheets if necessary)

Applicant

Laskaris et al.

Filing Date

Group

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA	4	8	2	7	2	3	5	05/02/89	Inomata et al.		
	BB	6	5	1	8	8	6	7	02/11/03	Laskaris et al.		
	BC	6	5	2	5	6	3	4	02/25/03	Laskaris et al.		
	BD	6	2	5	9	2	5	2	07/10/01	Laskaris et al.		
	BE	6	2	5	5	6	7	0	07/03/01	Srivastava et al.		
	BF	6	4	2	9	7	6	1	08/06/02	Laskaris et al.		
	BG	3	8	9	9	7	6	2	08/12/75	Studders		
	BH	5	3	4	3	1	8	0	08/30/94	Fukumoto et al.		
	BI	5	2	5	2	9	2	4	10/12/93	Sakurai et al.		
	BJ	2002/0021129							02/21/02	Laskaris et al.		
	BK											

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	No
	BL													
	BM													
	BN													
	BO													
	BP													

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

	BR	"Permanent Magnet Assembly and Method of Making Thereof," Laskaris et al., Serial No. 10/309,146 (GE docket RD-28500-4), filed 12/04/02.
	BS	"Permanent Magnet Assembly and Method of Making Thereof," Laskaris et al., Serial No. 10/309,139 (GE docket RD-28500-5), filed 12/04/02.
	BT	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449  
(REV. 7-80)  
(Title Amended 3/83)

U.S. DEPARTMENT OF COMMERCE  
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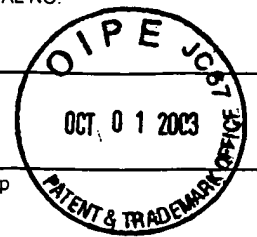
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(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA 5 6 8 0 0 8 6	10/21/97	Allis et al.			
	AB 5 6 3 1 6 1 6	05/20/97	Ohta et al.			
	AC 5 2 8 3 5 4 4	02/01/94	Sakurai et a.			
	AD 4 8 1 8 9 6 6	04/04/89	Miyamoto et al.			
	AE 5 3 1 7 2 9 7	05/31/94	Kaufman et al.			
	AF 4 5 4 0 4 5 3	09/10/85	Boredelon et al.			
	AG 5 3 8 3 9 7 8	01/24/95	Yamamoto et al.			
	AH 4 4 9 6 3 9 5	01/29/85	Croat			
	AI 6 1 2 0 6 2 0	09/19/00	Benz et al.			
	AJ 6 1 5 7 2 8 1	12/05/00	Katznelson et al.			
	AK 6 1 6 3 2 4 0	12/19/00	Zuk et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	No
	AL 0 3 1 7 0 6 4 3	07/1991	JAPAN				
	AM 0 2 1 4 1 5 0 1	05/1990	JAPAN				
	AN 6 2 3 9 3 9	11/09/94	EUROPEAN PATENT APPL.				
	AO 1 0 1 7 4 6 8 1	06/1998	JAPAN				
	AP 0 0 4 8 2 0 8	08/17/00	PCT APPLICATION				

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

AR	Abstract of Japan 2002-536,842
AS	"Electrical Engineering Concepts and Applications," A. Bruce Carlson et al., Addison-Wesley Publishing Co., 1990, pp. 119-121.
AT	"Magnetic Materials and Their Properties," E.A. Brandes et al., Smithells Metal Reference Book, Butterworth-Heinemann Ltd., 1992, p. 20-6.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.